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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Richard M. Kris et al.

Serial No.: 09/885,973

Group Art Unit: Not Assigned

Filed: June 22, 2001

Examiner: Not Assigned

For: HIGH THROUGHPUT ASSAY SYSTEM USING MASS SPECTROMETRY

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97**

Assistant Commissioner for Patents  
Washington, D. C. 20231

Sir:

**CITED MATERIALS**

Applicant(s) wish to disclose the following information, listed in the attached form PTO-1449.

- ☒ In accordance with 37 C.F.R. §1.98(d), copies of materials listed but not attached were cited in ancestor application Serial Nos. 09/218,166 and PCT/US99/30515.
- ☒ Copies of materials listed but not attached were cited in an International Search Report dated 6/3/01; receipt of any International Search Report and copies of references were confirmed by the PCT International Division of the U.S. PTO.
- ☐ Also included are the pending U.S. applications listed below, copies of which are attached:

**FEES**

- ☒ No fee is required for this Information Disclosure Statement because:
  - ☐ This Information Disclosure Statement is being filed within three months of the filing date of a national application (other than a CPA) under § 1.53(d) or within 3 months of the date of entry of the national stage under § 1.491 in an international application;
  - ☒ This Information Disclosure Statement is being filed before the mailing of a first action on the merits;
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- ☐ This Information Disclosure Statement is being filed after the mailing date of a final rejection or a notice of allowance under § 1.311 or an action that closes prosecution, but before the payment of the issue fee, and is accompanied by the fee set forth in § 1.17(p) and a certification below.

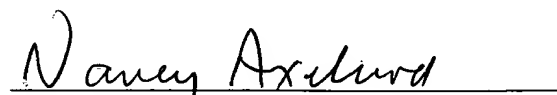
#### CERTIFICATION

- ☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c), more than three months prior to the filing of this statement.

#### DEPOSIT ACCOUNT

If appropriate, attached is a check in the amount of \$\_\_\_\_\_. However, the Commissioner is hereby authorized to charge fees under 37 CFR 1.16 and 1.17 which may be required to facilitate this filing, or credit any overpayment to Deposit Account #13-3402, two copies of this paper are attached for this purpose.

Respectfully submitted,



Nancy J. Axelrod  
Registration No. 44,014  
Attorney/Agent for Applicants

MILLEN, WHITE, ZELANO  
& BRANIGAN, P.C.  
Arlington Courthouse Plaza I  
2200 Clarendon Blvd. Suite 1400  
Arlington, Virginia 22201  
Telephone: (703) 243-6333  
Facsimile: (703) 243-6410

Attorney Docket No.: NEOGEN-1 WO P2 C2

**Date: July 24, 2001**

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<b>Form PTO-1449</b> <b>U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use several sheets if necessary)	<b>ATTY. DKT. NO.</b>  NEOGEN 1WO P2 C2	<b>SERIAL NO.</b>  09/885,973
	<b>APPLICANT</b>  KRIS ET AL.	
	<b>FILING DATE</b>  June 22, 2001	<b>GROUP</b>  Unassigned

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						Yes	No
AJ	97/07245	02/27/97	PCT			x	
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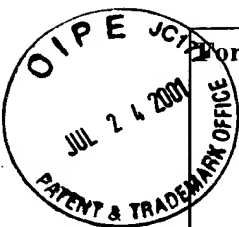
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	<b>BJ</b>	5,643,730	07/01/97	Banker et al.	435	6	03/14/95
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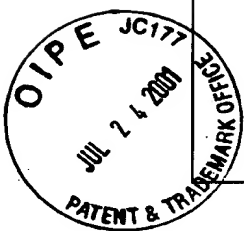
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